

**SCHOOL OF INTERNATIONAL SERVICE
AMERICAN UNIVERSITY**

International Development Program

SIS.619.005 **MANAGING URBAN DEVELOPMENT**

Fall Term, 2006

Wednesday, 5:30-8:00pm

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Hurst 209A

COURSE OUTLINE

The problems of globalization in the 21st century are largely going to be the challenges of rapid urbanization. Almost 2 billion people today live in the urbanized regions of low- and middle-income countries. By the year 2015, three out of the five cities with population of 15 million or more will be in the Third World: Sao Paulo, Calcutta, and Mexico City. Latin America is already 65% urban; over three-quarters of its population will live in cities by the end of the century. By then, the percentage of Africans in cities will be 42%, higher than either China at 40% or South Asia at 35%. Three quarters of them face problems of wrenching poverty, malnutrition, inadequate housing, and poor quality drinking water. A major source of this rapid urbanization is the accelerating rural-urban migration. These trends are not likely to change. Despite these facts, there is a persistent tendency by development planners to focus on the rural areas. They have tended to equate urban life with the national elites who govern the country.

This course will not on how to reverse urban growth, but on how to make cities function better. Both the lectures and class exercises are designed to equip students with the latest tools on how to manage fast growing cities in a sustainable way, by examining a series of thematic case studies drawn from Africa, Asia and Latin America. The first part of the course will review the literature on the origins and growth of cities and identify contrasting views as to the role of cities in national development. It will then compare these theoretical constructs with readings, which depict the real life of the poor in cities in the South.

The second part of the course will take up six major issues: emerging approaches to urban governance and politics; local economic development (LED) strategies; employment generation and entrepreneurship; slum and squatter upgrading; planning efficient and affordable water and sanitation systems; urban environmental management (with a focus on waste management, air pollution and innovative transport planning. Students are expected to sign up early in the semester for one of the thematic group assignments. .

Course Requirements: Students are expected to complete the following written assignments for the course:

Mid-term exam	25%
*Final research paper	40% (20-25 page length)

Final Examination	15%
1 group assignment	20%

*A 20-5 page research paper will be due at the end of the course. Please make sure to clear with me the topic of your research before the first week of April. A case study approach, possibly a comparative case study approach, will be useful.

Required Readings:

- Cheru, *Urban Development Reader* (to be purchased in class)
- Gilbert & Gugler, *Cities, Poverty and Development*, (1994)
- UNCHS, *Cities in a Globalizing World*, Earthscan, 2001
- UNCHS, *The Challenge of Slums*, (2003)
- World Bank, *Urban Policy and Economic Development: An Agenda for the 1990s*, Washington, D.C: 1991

Note: *Binders available at the ID Office (2hr. limit)

** Reader: to be purchased.

Schedule of Classes

Section I

August 30 The Urban Challenge on the 21st Century: Overview of Critical Policy Areas

Required Readings:

- Gilbert & Gugler, Introduction: "Current Patterns of Urbanization" book
- Vining, Daniel, Jr. "Growth of Core Regions in the Third World". (Reader)

Film: "Bombay Our City"

September 6 Globalization and the Changing Urban–Rural Interface

-How have the political and economic bases of cities changed over time? How do contemporary cities in the South today differ from historic patterns?

-What are the bases of statements that development agencies have an urban bias? If this is true, why are so few urban programs? What are the various theoretical explanations of the role of cities in development? Has the balance of push versus pull changed over time? What is the meaning of "step-migration?" What have been attempts to "keep them down on the farm?"

Required Readings:

- Baker, Jonathan. "Survival and Accumulation Strategies. (Binder)
- Beal, Jo. Globalization and Social Exclusion in Cities: Framing the Debate with Lessons from Africa and Asia. (Reader)
- Gilbert & Gugler. "Urban Development in a World System". Chapter 1
- Globalization and Cities (Editorial). (Reader)
- Sassen, Saskia. Locating Cities on Global Circuits. (Reader)
- Tacolli, Cecilia. Rural-urban Interactions: a Guide to the Literature. (Reader)
- UNCHS, **Cities in a Globalizing World, Prologue** + Part 1, pp.3-56
- Van Vliet, Willem. Cities in a Globalizing World: from Engines of Growth to Agents of Change. (Reader)

Case Studies:

- Bah Mahmoud et al. Changing Rural-Urban Linkages in Mali, Nigeria and Tanzania. (Binder)
- Molyneux C S et al. Maternal Mobility across the Rural-Urban Divide: Empirical Data from Coastal Kenya. (Binder)
- Simon David et al. The Changing Urban-Rural Interface of African Cities: Definitional Issues and an Application to Kumasi, Ghana. (Binder)

September 13 **Urban Poverty: A Survey of Regional Experiences**

-What are the various explanations for the origin and causes of inequality of class and gender in urban areas? Do either theories or reality differ in Africa, Asia and Latin America?

Required Readings:

- Amis, Philip. "Making Sense of Urban Poverty". (Reader)
- Anzorena Jorge et al. Reducing Urban Poverty; Some Lessons from Experience. (Reader)
- Chambers, R. "Poverty and Livelihoods: Whose Reality Counts".(Reader)
- Gilbert and Gugler, Chapter 2 & 6
- Moser, C. "Urban Social Policy and Poverty Reduction". (Reader)
- UN-Habitat. **Cities in a Globalizing World**, Part I, Chapters 2/3: pp.26-56
- Wratten, E. " Conceptualizing Urban Poverty". (Reader)

Regional Case Studies:

- Kanji, Nazneen "Gender, Poverty and Economic Adjustment in Harare". (Binder)
- Latapi and de la Rocha, "Crisis, Restructuring and Urban Poverty in Mexico". (Binder)

-Swaminathan, M. "Aspects of Urban Poverty in Bombay".(Binder)

Section II

MANAGING URBAN DEVELOPMENT IN THE 21st CENTURY

September 20 **Globalization, Poverty and Urban Violence**

Required Readings:

- ASOARTE et al. Exploring Youth and Community Relations in Cali, Colombia. (Binder)
- Gilbert & Gugler, Chapter 8: Urban and Regional Systems
- Lemanski, Charlotte. A New Apartheid? The Spatial Implications of fear of Crime in Cape Town, South Africa. (Reader)
- Moser, Caroline O.N. Urban Violence and Insecurity: An Introductory Roadmap (Reader)
- Sanin Gutierrez Francisco and Ana Maria Jaramillo. Crime, (counter-) insurgency and the Privatization of Security-the Case of Medellin, Colombia. (Binder)
- Winton, Ailsa. Urban Violence: A Guide to the Literature (Reader)
- UNCHS. **Cities in a Globalizing World**. Part V (all)

September 27: **Emerging Approaches to Urban Governance and Politics**

How independent are cities from national powers and priorities? What are the appropriate strategies for improving urban management in LDCs, including the expansion of local government revenue-raising capacity and decentralize authority and responsibility from central government agencies to local governments and NGOs? What is the scope of community participation in urban governance?

Required Readings:

- Cavill S and M. Sohail. Strengthening Accountability for Urban Services (Reader)
- Devas et al. "Urban Governance and Poverty: Lessons from a Study of 10 cities in the South". (Reader)
- Gilbert & Gugler. Chapter 7: Political Integration and Conflict
- Lee-Smith, Diana and Richard Stren. "New Perspectives on African Urban Management". (Binder)
- Mitlin Diana. Civil Society and Urban Poverty –Examining Complexity. (Reader)
- UNCHS. **Cities in a Globalizing World**, Part II: pp.57-78

Case Studies:

- Gazzoli, Ruben. The Political and Institutional Context of Popular Organization in Urban

Argentina. (Binder)

-Herzer Hilda and Pedro Pierez, "Municipal Government and Popular Participation in Latin America". (Binder).

-Ha Seong- Kyu. The Role of NGOs for Low-Income Groups in Korean Society. (Binder)

-Yu Sandra and Anna Marie Karaos. Establishing the Role of Communities in Governance: the Experience of the Homeless People's Federation Philippines. (Binder)

October 4: Economic Development in Cities: Employment Generation

Required Readings:

-Gilbert and Gugler. Chapters 3 and 4 in the book

-Jenkins, Paul. In Search of the Urban-Rural Frontline in Postwar Mozambique and Angola. (Binder)

-Minujin Alberto, Jan Vandemoortele and Enrique Delamonica. Economic Growth, Poverty and Children (Binder)

-Tacoli, Cecilia. "Urban Governance, Partnership and Poverty". (Reader)

-UN-Habitat. **Cities in a globalizing world**, Part V, Chapter 14, pp.161-180

-UN-Habitat. **Cities in a globalizing world**, Chapter 17

-Vidler, Elizabeth. "City Economic Growth". (Reader)

-World Bank. **Urban Policy and Economic Development**, whole book

October 11 Economic Development II: The Informal Sector, the State and the Regulatory Environment

What is the significance of the informal sector in the urban economy? How do government regulations affect the performance of the informal sector, and what should be done in the future? What is the sectoral coverage of the informal sector?

Required Readings:

-Hasan, Arif. The Changing Nature of the Informal Sector in Karachi as a Result of Global Restructuring and Liberalization. (Binder)

-Iyenda, Guillaume. Street Enterprises, Urban Livelihoods and Poverty in Kinshasa. (Binder)

Mitullah, Winnie. "Hawking as a Survival Strategy for the Urban Poor in Nairobi: the Case of Women". (Binder)

-Patel, Sheela, "Street Children, Hotel Boys in Bombay". (Binder)

-Rogerson, Christian M. Urban Poverty and the Informal Economy in South Africa's Economic Heartland. (Binder)

- De Soto, Hernando. The Other Path, (on Reserve in the ID Office)

October 18 Housing the Poor I: Community Development Approaches

- *Institutional barriers: land use regulation and affordable housing*
- *Institutional barriers: real estate market*
- *Institutional barriers: mortgage market*

What are the main impediments in the provision of shelter to the urban poor in developing countries? What has been the success of squatter upgrading programs in Third World cities? What role do the urban poor play in the provision of their own housing needs? What has been the attitude of municipal authorities and financial institutions toward squatters and the urban poor? (Issues to be considered: land management, standards, urban finance, appropriate construction technology, the role of the informal sector in shelter provision, and gender issues in human settlements).

Required Readings:

- Alder, Graham." Tackling Poverty in Nairobi's Informal Settlements". (Binder)
- Audefroy, Joel. "Eviction Trends Worldwide and the Role of Local Authorities in Implementing the Right to Housing." (Binder)
- Gilbert and Gugler. "Chapter 5: The Housing of The Urban Poor" pp.114-150
- Patel Sheela et al. Beyond Evictions in a Global City: People-managed Resettlement in Mumbai. (Binder)
- UNCHS. ***The Challenge of Slums***

October 25 Housing the Poor II: Housing Finance Options

(Issues: land markets, housing finance, role of NGOs)

Required Readings:

- Burra, Sundar. Towards a Pro-poor Framework for Slum Upgrading in Mumbai, India. (Reader)
- Stein Alfredo and Luis Castillo. Innovative Financing for Low –income Housing Improvement: Lessons from Programs in Central America. (Reader)
- UNCHS. ***Cities in a Globalizing World***, Part III: pp.79-103;
- UNCHS. ***Cities in a Globalizing World***, Part VI, Section 16 (on adequate shelter for all)
- UNCHS. ***The Challenge of Slums*** (all)

November 1: Overcoming the Fiscal Crisis of Cities: Public Finance and Fiscal Management

Given the weak capacity of local governments, what scope exists for public-private-NGO interface in the provision of shelter and basic services in urban areas? Can the private sector provide basic services more cheaply than the public sector?

Required Readings:

- Bahl Roy and Johannes Linn. "Urban Finance in Developing Countries", Chapters 1-3. (Reader)
- Cabannes, Yves. Participatory Budgeting: a Significant Contribution to Participatory Democracy. (Reader)
- Jacobi, Pedro. "Challenging Traditional Participation in Brazil: The Goals of Participatory Budgeting". (Reader)
- Lim Gill and Richard Moore. "Privatization in Developing Countries: Myth and Reality", *International Journal of Public Administration* (1989). (Binder)
- USAID. Privatizing Solid Waste Management Services in Developing Countries, Office of Housing and Urban Programs. (On Reserve)

November 8: Managing the Urban Environment (I): Expanding Access to Urban Infrastructure and Basic Services: Sanitation and Health, Water (W11)

What is the role of government in the provision of basic services? How do governments go about financing urban infrastructure? Are there effective means of service provision, given the fiscal crisis of most municipalities? What should be the role of the informal sector in service provision? What scope exists for community participation in the provision of basic services?

Required Readings:

- Bartlett, Sheridan. Water, Sanitation and Urban Children: The Need to Go Beyond "Improved" Provision. (Reader)
- Bilqis A. et al. "Sanitation in a Poor Settlement in Bangladesh". (Binder)
- Buds Jessica and Gordon McGranahan. Are the Debates on Water Privatization Missing the Point? Experiences from Africa, Asia and Latin America. (Reader)
- Jacobi, Pedro. "Habitat and Health in Sao Paulo". (Binder)
- Jaglin, Sylvie. The Right to Water versus Cost Recovery: Participation, Urban Water Supply and the Poor in sub-Saharan Africa. (Reader)
- UNCHS. *Cities in a Globalizing World*, Part IV: 9 & 10
- Uduku, N.O. "Promoting Community Based Approaches to Social Infrastructure Provision in Urban Areas of Nigeria". (Binder)

November 15: Managing the Urban Environment (II): Air Pollution, Industrial Pollution, and Sewage, Waste Management, Energy Efficiency, Recycling, etc

Required Readings:

- Mazzucchelli, Sergio. "Participatory Methodologies for Rapid Urban Environmental Diagnoses". (Binder)
- Orangi Pilot Project. (Binder)
- Rees, William E. "Ecological Footprints and Appropriated Carrying Capacity: What Urban Economics Leaves Out". (Reader)
- SPARC, "Community-driven Provision of Sanitation in Indian Cities". (Reader)
- UNCHS. *Cities in a Globalizing World*. Part IV: 11, 12, 13

November 29 Urban Transport Crisis

Required Readings:

- Vasconcellos, *Urban Transport*, Part 3: chapter 7 (political issues); chapter 8: (institutional issues); chapter 9: (technical issues).

December 6 Class Presentations

Note: The entire class period of April 25 will be devoted to presentations by students of their research progress. Each presentation should not exceed 5-7 minutes in length. Each presenter will prepare for students in class a 1-2 page outline of their presentations summarizing the methodology employed and the final research findings.

NOTE: FINAL RESEARCH PAPER IS DUE ON THIS DAY. LATE PAPERS WILL BE PENALIZED!!